

**Texas A&M University-Corpus Christi**  
Office of Graduate Studies and Research

**OMB Circular A-133 Audit Certification Letter**

**Subrecipient Monitoring Requirements:**

Texas A&M University-Corpus Christi is required by OMB Circular A-133, "Audits of State and Local Governments, and Non-Profit Organizations" to determine if our subrecipients have met the audit requirements of the Circular and whether they are in compliance with Federal laws and regulations.

*Please read the following certification in Section A, and if ALL statements are true, sign and date the certification. If you have not completed the requirements of Section A, please complete Section B and provide all appropriate documents. For for-profit and foreign entities, complete Section C.*

**Section A:**

I hereby certify that for fiscal year ended \_\_\_\_\_

1. Financial statements received an unqualified opinion from our independent certified public accountants; and
2. The administration of our Federal projects has been audited in accordance with OMB Circular A-1323, and there were no material instances of noncompliance with Federal laws and regulations or reportable conditions; and
3. There were no findings in the single audit report that are specifically related to awards from Texas A&M University-Corpus Christi.

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Authorized Institutional Representative

Date

**Section B:**

Please check one of the following and provide all appropriate documents:

- We have completed our OMB Circular A-133 audit, and material noncompliance issues and/or reportable conditions were noted. Enclosed is a copy of the audit report and our response.
- There were findings in the single audit report that are specifically related to a prime award from Texas A&M University-Corpus Christi. A listing of awards and the explanation of the findings as they relate to the prime award is enclosed.
- We have not completed our OMB Circular A-133 audit. We expect the audit to be completed by \_\_\_\_\_. Within thirty (30) days of completion, we will provide certifications in Section A, or a response as required in Section B.
- We did not expend \$500,000 or more in Federal awards during the related fiscal year, and therefore we are not subject to the requirements of OMB Circular A-133.

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Authorized Institutional Representative

Date

**Section C:**

We are certifying that, for the fiscal year ending \_\_\_\_\_, we have annual financial statements that have been reviewed or audited by an independent audit firm and the financial statements received an unqualified opinion from our independent certified public accountants.

1. Does Subrecipient have a financial management system that provides records that can identify the source and application of funds for award supported activities? (Reference FAR 52.216-7)  Yes  No
2. Does Subrecipient's financial management system provide for the control and accountability of project funds, property, and other assets?  Yes  No
3. Does Subrecipient's procurement system allow for free and open competition, and eliminate or reduce conflict of interest in the procurement process?  Yes  No

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Authorized Institutional Representative

Date