

ORC USE ONLY

Date Received:

VSR #:

Foreign Visiting Scholar Request for Research



Instructions and Certifications (Failure to follow may result in a delay in processing)

Complete this form in advance of a **non-TAMUCC, foreign scholar's visit** to TAMUCC for **research purposes**, including:

1. access to research facilities or laboratories; or
2. issuance of a TAMUCC identification card, keys to offices or laboratories, or otherwise given access to the TAMUCC computing system in any way.

If the non-TAMUCC, foreign scholar is visiting for a **speaking engagement, honoraria**, or similar **non-research reimbursement**, complete the form entitled "Foreign Visiting Scholar Reimbursement/Honoraria Request for Non-Research," instead.

By signing this Foreign Visiting Scholar Request for Research (Request), the Requesting Department, Hosting Faculty, and Visiting Scholar certify the following, as applicable:

1. TrainTraQ Training "2111212: Export Controls and Embargo Training - Basic Course" has been completed by the Hosting Faculty, is current (expires after two years), and its Completion Report is included as an attachment;
2. Have read and understood the responsibilities set forth in TAMUCC Rule 15.02.99.C1 and the TAMUCC Export Control Manual, regarding export control;
3. Have read and understood the responsibilities set forth in TAMUCC Rule 17.02.02.C1 and TAMUCC Procedure 17.02.02.C1.01, regarding intellectual property, and will abide by any terms contained in a contract, grant, non-disclosure agreement, or any other agreement under which any research activity is conducted;
4. Will coordinate with Human Resources regarding visa and other requirements;
5. Will coordinate with the Office of Sponsored Research Administration (OSRA) regarding sponsored projects, funding, and other requirements;
6. Will coordinate with the Office of Research Compliance (ORC) regarding export control determinations and other research-related compliance determinations;
7. Have read and reviewed this Request; any applicable supporting documentation or third-party approval has been obtained from the appropriate authority and has been included as an attachment to the Request; have signed the Request electronically; and
8. Have submitted the Request a **minimum of thirty (30) days in advance** of the anticipated visit; will communicate whether there is a firm start date or other deadline associated with the Request.

After completing the foregoing, submit the Request with supporting documentation via email to the Research Compliance and Export Control Officer:

Research.compliance@tamucc.edu

Requesting Department:

Visiting Scholar Information

First Name: Middle Name:

Last Name:

Title: Country of Citizenship:

Name of Institution: Institution Country:

Institution Full Address:

Full Home Address: Home Address Country:

Provide summary of education and background, or attach CV/Resume.

Visit Overview

From Date: To Date:

Identify source(s) of financial and other support for the visiting scholar during the visit.

Describe the nature and purpose of the visit. Describe the proposed research activity **in layman's terms**.

Export Control Determination

Consider all research activities during the visit (both funded and unfunded) in the responses.

Can the research be categorized as "classified?"

Classified research is usually government funded and can further be defined as national security information at the levels of Top Secret, Secret, and Confidential, and as being governed by Department of Defense National Industrial Security Program Operating Manual (NISPOM) requirements. Publication of classified research results can be legally withheld or restricted.

Can the research be categorized as "controlled unclassified information?"

Controlled Unclassified Information (CUI) is a categorical designation that refers to unclassified information that does not meet the standards for National Security Classification under Executive Order 12958, as amended, but is (i) pertinent to the national interests of the United States or to the important interests of entities outside the Federal Government, and (ii) under law or policy requires protection from unauthorized disclosure, special handling safeguards, or prescribed limits on exchange or dissemination. The designation CUI replaces "Sensitive But Unclassified" (SBU).

Can the research be categorized as "proprietary?"

Proprietary research, usually privately funded, is defined as research activities undertaken pursuant to a contract between the University and an outside sponsor with commercial interests, and carried out under the auspices of the University. Publication of proprietary research results can be withheld or restricted, contractually.

Does the project restrict participation to U.S. citizens or permanent residents only?

Can the research be categorized as "restricted?"

Restricted research is research where publication may require advance review by, or permission of the funding entity. Restricted research may have constraints imposed by the funding entity, whether it be the state, a federal agency, or a private sponsor with or without commercial interests.

Can the research be categorized as "fundamental?"

Fundamental research includes basic or applied research in science and/or engineering. The results of fundamental research are ordinarily published and shared broadly within the scientific community. Fundamental research is distinguished from proprietary research and industrial development, design, production, and product utilization because the results of this research is ordinarily restricted for proprietary or national security reasons. Fundamental research applies only to the dissemination of technical data and information and does not apply to the transmission of material goods.

Will the visiting scholar have access to **technical specifications of equipment** where such specifications **are not available** through published materials such as commercially available manuals, documentation in libraries or the World Wide Web, information from teaching laboratories or information available to interested communities for either free or where the price does not exceed the cost of production?

If "**yes,**" list the equipment and provide technical information for each.

Provide a list of all equipment, software, and technology the Visiting Scholar will have access to (other than basic computer and Microsoft Office products):

Manufacturer	Product	Vendor Website

TAMUCC Signatures

Hosting Faculty

Name:

Signature:

Date: Email:

Department Head (or Director)

Name:

Signature: Date:

Dean/Vice President Office

Name:

Signature: Date:

Visiting Scholar Certifications and Signature

By signing this Request, the Visiting Scholar certifies that he/she has read and understood the requirements and responsibilities set forth in the section entitled "Instructions and Certifications" in relation to the visit. In addition, the Visiting Scholar certifies that he/she will abide by any and all applicable federal, state, and/or institutional regulations, including any requirements from the Office of Research Compliance (ORC).

By signing this Request, the Visiting Scholar agrees to follow TAMUCC's institutional regulations regarding intellectual property, as well as any terms contained in a contract, grant, or similar agreement related to the research. The Visiting Scholar may be required to execute a non-disclosure agreement. The Visiting Scholar agrees that he/she will not disclose or transmit intellectual property of TAMUCC.

By signing this Request, the Visiting Scholar agrees that any technical report written by him/her will be accompanied by an English translation, which will be provided to the Hosting Faculty for review.

By signing this Request, the Visiting Scholar agrees to hold TAMUCC and the Texas A&M University System (System), their Regents, officers, agents and employees harmless from any loss, claim, damage, or liability of any kind directly or indirectly arising out of or in connection with this Request, except to the extent that it is directly due to the negligent acts or omissions of any of the Regents, officers, employees or agents of TAMUCC or the System.

Name:

Signature: Date:

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Restricted Party Screening Passed:

If "**no**," explain.

Comments:

Name:

Signature: Date: